



ADDITIONAL INFORMATION FORM

POLITICALLY EXPOSED PERSONS (PEPS)

Trinidad and Tobago's anti-money laundering and anti-terrorist financing legislation requires that financial institutions obtain the following information in connection with clients who are politically exposed.

MEMBER INFORMATION

FULL NAME

PERMANENT ADDRESS

POLITICALLY EXPOSED PERSON INFORMATION:

Has the applicant or the registered owners, directors or signing officers, or one of their family members or close associates, ever held a senior position in a government, political party, military, tribunal, government-owned corporation, or been the head of an international organization, a leader or president of an organization funded by multiple nations or states?

YES ☐

NO ☐

NAME OF PERSON WHO IS/WAS POLITICALLY EXPOSED

COUNTRY WHERE POSITION IS/WAS HELD

POSITION HELD / RANK

DATE OF COMMENCEMENT OF POSITION

WHAT CATEGORY OF POSITION IS/WAS HELD BY THE PERSON WHO IS/WAS POLITICALLY EXPOSED DOMESTICALLY OR IN A FOREIGN COUNTRY?

PLEASE SELECT A RELEVANT CATEGORY:

- ☐ Head of State
- ☐ Head of Government
- ☐ Senior Politicians [Parliament Members (national, local or THA elections), Senators, Appointed to serve in the THA under the THA Act, Aldermen in a Municipality or Regional Corporation under the Municipal Corporations Act]
- ☐ Senior Government Official [Permanent Secretary, Accounting Officer under the Exchequer & Audit Act, or holding equivalent positions in a foreign country]
- ☐ Senior Judicial Official [Chief Justice, Judges of the Supreme Court (Appeal & High Court Judges), Masters of the Supreme Court, Industrial Court Judges, Caribbean Court of Justice Judges, The Registrar, Deputy Registrar, Assistant Registrar, Chief Magistrate, Deputy Chief Magistrates and Magistrates of the Magistracy]
- ☐ Senior Military Officials [eg. Defence Force - Major General, Brigadier General, Colonel, Lieutenant Colonel, Air Guard]
- ☐ Group Captain, Wing Commander, Squadron Leader, Coast Guard- Rear Admiral, Commodore, Captain, Commander, Commanding Officer of the Air Guard and Defence Force]
- ☐ Senior Executive of State-Owned Corporations - [Chairman, Deputy Chairman, President or Vice President of the BOD, Managing Director, General Manager, Comptroller, Secretary, Treasurer or any other person who is duly appointed to perform functions similar to those normally performed by the holder of any office specified]
- ☐ Important Political Party Official [Chairman, Deputy Chairman, Secretary, Treasurer] of a political party registered under the Representation of the People Act or individuals holding equivalent positions in a foreign country
- ☐ Persons who are or have been entrusted with prominent functions by an international organisation that refers to members of senior management [eg. directors and members of the board or equivalent functions]



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WHAT IS THE RELATIONSHIP OF THE PERSON NAMED ABOVE?

☐ MYSELF

☐ FAMILY MEMBER

A FAMILY MEMBER IS CONSIDERED TO BE:

1. THEIR SPOUSE OR COMMON-LAW PARTNER;
2. THEIR CHILD;
3. THEIR MOTHER OR FATHER;
4. THE MOTHER OR FATHER OF THEIR SPOUSE OR COMMON-LAW PARTNER;
5. CHILD OF THEIR MOTHER OR FATHER (SIBLING)

☐ CLOSE ASSOCIATE

A CLOSE ASSOCIATE IS CONSIDERED TO BE:

1. BUSINESS PARTNERS WITH, OR WHO BENEFICIALLY OWNS OR CONTROLS A BUSINESS WITH, A PEP
2. IN A ROMANTIC RELATIONSHIP WITH A PEP, SUCH AS A BOYFRIEND, GIRLFRIEND, OR MISTRESS
3. INVOLVED IN FINANCIAL TRANSACTIONS WITH A PEP;
4. A PROMINENT MEMBER OF THE SAME POLITICAL PARTY OR UNION AS A PEP
5. SERVING AS A MEMBER OF THE SAME BOARD AS A PEP, OR
6. CLOSELY CARRYING OUT CHARITABLE WORKS WITH A PEP

TOTAL/OVERALL ASSET VALUE (PERSONAL)

\$0-\$250,000.00 ☐ \$250,001 - \$1,000,000 ☐ \$1,000,001 - \$2,000,000 ☐ ≥ \$2,000,001 ☐

SIGNING AUTHORITY LEVEL (COMPANY)

≤ \$500,000 ☐ \$500,001 - \$1,000,000 ☐ \$1,000,001 - \$5,000,000 ☐ ≥ \$5,000,001 ☐

ANY OTHER INCOME SOURCE (SPECIFY)	AVERAGE ANNUAL EARNINGS	FREQUENCY
		☐ Daily ☐ Weekly ☐ Monthly ☐ Other _____

OTHER PROFESSIONAL INFORMATION - BOARD/COMMITTEES MEMBERSHIP

NAME OF BODY	POSITION HELD	DURATION	STATUS
			☐ Active ☐ Resigned
			☐ Active ☐ Resigned



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Purpose & Nature Of Business Relationship:

☐ Savings and Investments ☐ Loan and Credit Facilities ☐ Other:

SOURCE OF FUNDS (check all that apply)

THE SOURCE OF FUNDS THAT HAVE BEEN, WILL BE, OR ARE EXPECTED TO BE DEPOSITED INTO THIS ACCOUNT IS AS FOLLOWS:

- | | |
|---|--|
| ☐ Pension / Gratuity / Severance | ☐ Sale of Property / Real Estate |
| ☐ Personal Savings | ☐ Sale of Shares / Dividend |
| ☐ Salary / Wages | ☐ Insurance Settlement |
| ☐ Sale of Vehicle | ☐ Proceeds from Self-Owned Business |
| ☐ Proceeds from Investment | ☐ Gift / Inheritance |
| ☐ Employment Bonus | ☐ Other (state below): |

DETAILS AND RELEVANT DOCUMENTS

DEPENDENTS INFORMATION

SPOUSE INFORMATION

FULL NAME

MAIDEN NAME

DATE OF BIRTH: ____/____/____ DD MM YY COUNTRY OF BIRTH: _____

RESIDENTIAL STATUS:

NATIONALITY: _____

- ☐ Resident
☐ Non-Resident

CHILDREN INFORMATION

NAME	DATE OF BIRTH	AGE	NATIONALITY	COUNTRY OF BIRTH



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DECLARATION

I, _____, HEREBY CERTIFY THAT THE INFORMATION GIVEN IN THIS
FULL NAME (BLOCK LETTERS)

DECLARATION FILED WITH CLICO CREDIT UNION CO-OPERATIVE SOCIETY LIMITED, IS TRUE AND CORRECT.

SIGNATURE: _____

DATE: _____

FOR OFFICIAL USE ONLY

Transaction Accepted ☐ Transaction Declined ☐ Transaction Incomplete ☐ Member Refused to Sign ☐

CCU REPRESENTATIVE	CHIEF EXECUTIVE OFFICER (C.E.O.)	COMPLIANCE OFFICER
Name in block letters	Name in block letters	Name in block letters
Signature	Signature	Signature
Date	Date	Date

ADDITIONAL INFORMATION:
